PART 2 - JOCKEY LICENCES FROM THE AUTHORITY

CHAPTER 1 - OBTAINING A LICENCE

General

3. Licences to ride granted by the Authority

3.1 A licence to ride may be granted by the Authority, subject to such restrictions or conditions as the Authority considers appropriate.
3.2 Such a licence is referred to in this Manual as a Jockey's Licence.
3.3 The types of Jockey's Licences that may be granted are

> 3.3.1 a licence to allow the holder to ride in flat races;
> 3.3.2 a licence to allow the holder to ride in steeple chases, hurdle races or National Hunt Flat Races.

3.4 In this Manual, any Jockey's Licence which the Authority grants to a Person whom the Authority considers to be inexperienced in racing is referred to as

> 3.4.1 an Apprentice Jockey's Licence, where the licence allows him to ride in flat races, and
> 3.4.2 a Conditional Jockey's Licence, where the licence allows him to ride in steeple chases, hurdle races or National Hunt Flat Races,

and the holder of the licence is referred to as an Apprentice Jockey or a Conditional Jockey.

4. Fees for grant and renewal of licence

4.1 An application under this Part for the grant or renewal of a Jockey's Licence must be accompanied by the appropriate fee and any VAT payable.
4.2 The fees payable in respect of each licence

> 4.2.1 are specified in Schedule (A)1, and
> 4.2.2 if the applicant for the licence is an Apprentice Jockey or a Conditional Jockey, must be paid by the Trainer by whom he is employed.

4.3 A sum of such amount as the Authority considers appropriate shall be taken from each fee it receives for a licence and shall be allocated by the Authority to such racing charities as it considers appropriate.

Grant, refusal or withdrawal: Jockeys

5. Initial application for a jockey's licence

5.1 Subject to Paragraph 5.3, a Person who applies for a Jockey's Licence must use the Prescribed form.
5.2 Procedures for the consideration and determination of applications are set out in Schedule (A)9.
15.3 This Rule and Rules 6 and 7 do not apply to an Apprentice Jockey's Licence or Conditional Jockey's Licence and any application in connection with the licence (including renewal) must instead be made in accordance with Rules 8 to 11.
5.4 The initial application must be received at the Authority's Office at least 3 weeks before the date on which the licence will be required.
5.5 No licence may be granted unless the applicant meets the criteria and other requirements as may be established by the Authority from time to time.
5.6 At any time after receiving an initial application under this Rule and before determining it, the Authority may require an applicant to

> 5.6.1 be assessed at the British Racing School or the Northern Racing College,
> 5.6.2 attain a National Vocational Qualification (NVQ),
> 5.6.3 attend a training course or seminar,
> 5.6.4 undergo a medical examination,
> 5.6.5 be tested in accordance with the Authority’s Assessment of Concussion protocol, and

5.6.6 attend a personal interview.

6. Expiry and renewal of a jockey's licence

6.1 A Jockey's Licence expires at the end of the period stated on the licence.
6.2 The holder of the licence may apply for it to be renewed using the Prescribed form.
6.3 Procedures for the consideration and determination of applications are set out in Schedule (A)9.
6.4 The renewal application must be received at the Authority's Office at least 2 weeks before the date on which the licence or permit will be required.
6.5 A licence may be renewed subject to such restrictions or conditions as the Authority considers appropriate (including a requirement for the holder of the licence to undertake such training as the Authority may direct) and the restrictions or conditions may differ from any restrictions or conditions previously imposed.

7. Circumstances in which a jockey's licence ceases to be valid

7.1 This Rule applies to a Jockey's Licence granted under Rule 5 or renewed under Rule 6.
7.2 A Person shall be taken to have contravened a requirement imposed on him by these Rules if he rides in any race under these Rules after his licence has ceased to be valid.
7.3 A licence shall immediately cease to be valid if

7.3.1 the licence

7.3.1.1 expires and is not renewed,
7.3.1.2 is withdrawn by the Authority, or
7.3.1.3 is suspended by the Authority; or

7.3.2 the holder of the licence

7.3.2.1 relinquishes it, or
7.3.2.2 is declared to be a Disqualified Person.

7.4 A licence that is suspended for a specified period shall resume on the expiry of the period.

Grant, refusal or withdrawal: Apprentice Jockeys or Conditional Jockeys

8. Initial application for an apprentice jockey's licence

8.1 A Person who applies for an Apprentice Jockey's Licence must use the Prescribed form.
8.2 The application must be received at the Authority's Office at least 3 weeks before the date on which the licence will be required.
8.3 No licence may be granted unless the applicant meets

8.3.1 the conditions specified in Paragraph 8.4 and the conditions specified in Paragraph 8.5, and
8.3.2 such other requirements as the Authority may from time to time determine.

8.4 In order to meet the conditions in this Paragraph, a Person must

8.4.1 be aged over 16 but under 26 years,
8.4.2 be in the full-time, paid employment of a Trainer who holds a licence granted by the Authority to train horses for flat races,
8.4.3 have signed, of his own free will, an Apprentice Jockey's Agreement with the Trainer, and
8.4.4 make the application jointly with the Trainer,

and if the applicant is under 18 years, he must before signing the Apprentice Jockey's Agreement also obtain the consent of a Person who has Parental Responsibility for him.

8.5 In order to meet the conditions in this Paragraph, a Person must be aged under 26 years and

8.5.1 be entitled to claim a weight allowance under Rule (F)140 (which makes provision for weight allowances for riders in flat races), or

8.5.2 have been entitled to claim such an allowance within the past 6 months.

8.6 At any time after receiving an initial application under this Rule and before determining it, the Authority may require an applicant to

8.6.1 be assessed at the British Racing School or the Northern Racing College,
8.6.2 attain a National Vocational Qualification (NVQ),
8.6.3 attend a training course or seminar,
8.6.4 undergo a medical examination,
8.6.5 be tested in accordance with the Authority's Assessment of Concussion protocol, and
8.6.6 attend a personal interview.

8.7 In this Rule apprentice jockey's agreement means a riding agreement for Apprentice Jockeys on the standard form approved by the Authority.

9. Initial application for a conditional jockey's licence

9.1 A Person who applies for a Conditional Jockey's Licence must use the Prescribed form.
9.2 The application must be received at the Authority's Office at least 3 weeks before the date on which the licence will be required.
9.3 No licence may be granted unless the applicant meets

9.3.1 the conditions specified in Paragraph 9.4 or the conditions specified in Paragraph 9.5, and
9.3.2 such other requirements as the Authority may from time to time determine.

9.4 In order to meet the conditions in this Paragraph, a Person must

9.4.1 be aged over 16 but under 26 years,
9.4.2 be in the full-time, paid employment of a Trainer who holds a licence or permit granted by the Authority to train horses for steeple chases, hurdle races or National Hunt Flat Races,
9.4.3 have signed, of his own free will, a Conditional Jockey's Agreement with the Trainer, and
9.4.4 make the application jointly with the Trainer,

and if the applicant is under 18 years, he must before signing the Conditional Jockey's Agreement also obtain the consent of a Person who has Parental Responsibility for him.

9.5 In order to meet the conditions in this Paragraph, a Person must be aged under 26 years and

9.5.1 be entitled to claim a weight allowance under Rule (F)141 (which makes provision for weight allowances for riders in steeple chases, hurdle races and national hunt flat races open to professional riders), or
9.5.2 have been entitled to claim such an allowance within the past 6 months.

9.6 At any time after receiving an initial application under this Rule and before determining it, the Authority may require an applicant to

9.6.1 be assessed at the British Racing School or the Northern Racing College;
9.6.2 attain a National Vocational Qualification (NVQ),
9.6.3 attend a training course or seminar,
9.6.4 undergo a medical examination,
9.6.5 be tested in accordance with the Authority's Assessment of Concussion protocol, and
9.6.6 attend a personal interview.

9.7 Without prejudice to Rule 3.1, a Conditional Jockey's Licence may be granted on terms that exclude the holder of the licence from riding in novice steeple chases.
9.8 In this Rule conditional jockey's agreement means a riding agreement for Conditional Jockeys on the standard form approved by the Authority.

10. Expiry and renewal of licences: apprentice jockey or conditional jockey

10.1 This Rule applies to

10.1.1 any Apprentice Jockey's Licence, and
10.1.2 any Conditional Jockey's Licence.

10.2 The licence expires at the end of the period stated on the licence.
10.3 The holder of the licence may apply for it to be renewed using the Prescribed form.
10.4 The renewal application must be received at the Authority's Office at least 2 weeks before the date on which the licence will be required.
10.5 A licence may be renewed subject to such restrictions or conditions as the Authority considers appropriate and

10.5.1 these may differ from the restrictions or conditions previously imposed, and
10.5.2 these may include a requirement for the holder of the licence to undertake such training as the Authority may direct.

11. Circumstances in which licence ceases to be valid: apprentice jockey or conditional jockey

11.1 This Rule applies in relation to

11.1.1 an Apprentice Jockey's Licence, and
11.1.2 a Conditional Jockey's Licence.

11.2 A Person shall be taken to have contravened a requirement imposed on him by these Rules if he rides in any race under these Rules after his licence has ceased to be valid.
11.3 A licence shall immediately cease to be valid if

11.3.1 the licence

11.3.1.1 expires and is not renewed,
11.3.1.2 is withdrawn by the Authority, or
11.3.1.3 is suspended by the Authority;

11.3.2 the holder of the licence

11.3.2.1 relinquishes it, or
11.3.2.2 is declared to be a Disqualified Person;

11.3.3 the holder of the licence reaches the age of 26 years;
11.3.4 in respect of a licence holder to whom the conditions in Rule 8.4 or 9.4 apply

11.3.4.1 he leaves the employment referred to in Rule 8.4 or 9.4 (with or without the trainer's consent),
11.3.4.2 the Trainer's licence ceases to be valid under Part (C)2, or
11.3.4.3 the agreement referred to in Rule 8.4 or Rule 9.4 terminates by consent or for any other reason; or

11.3.5 except as stated in Paragraph 11.4, the licence holder ceases to be entitled to a weight allowance under Rule (F)140 or (F)141.

11.4 A licence shall continue in force in the circumstances described in Paragraph 11.3.5 until the earliest of the following

11.4.1 the end of the 6 month period starting with the effective date on which licence holder ceased to be entitled to the weight allowance,
11.4.2 the licence holder reaches the age of 26 years, or
11.4.3 the agreement referred to in Rule 8.4 or Rule 9.4 terminates for any reason.

11.5 A licence that is suspended for a specified period shall resume on the expiry of the period.
11.6 Where the Trainer referred to in Rule 8.4 or Rule 9.4 dies

11.6.1 the licence held by the Apprentice or Conditional Jockey shall remain in force for a period of 7 days from the date of death,
11.6.2 during that period the Apprentice or Conditional Jockey shall be answerable to the Authority in the same way as he would otherwise have been answerable to the Trainer, and
11.6.3 at the end of the 7 day period the licence shall immediately cease to be valid.

CHAPTER 2 - JOCKEYS: FURTHER PROVISIONS

12. Jockeys to be suitable Persons

12. The Authority may

12.1 refuse to grant or renew a Jockey's Licence, or
12.2 suspend or withdraw a licence,

if the Authority considers that an applicant or a licence holder is not a suitable Person to hold a licence.

13. Reporting any injury occurring since last race

13.1 Where a Jockey sustains a reportable injury since last riding under these Rules

13.1.1 he must inform the Chief Medical Adviser at the earliest opportunity, and
13.1.2 at the next race meeting at which he intends to ride, he must report to the Racecourse Medical Officer at least one hour before the first race for an examination as to his fitness.

13.2 A reportable injury is

13.2.1 a head injury or concussion,
13.2.2 a severe back injury,
13.2.3 a fracture or dislocation involving a limb bone or joints,
13.2.4 a fracture of the pelvis or spine, or
13.2.5 any other significant injury or significant illness.

14. Prohibition on owning racehorses

14.1 A Jockey may not be the owner or part-owner of

14.1.1 any horse which is entered or run under these Rules, or
14.1.2 any horse which is entered or run under the Rules of any Recognised Racing Authority.

14.2 Paragraph 14.1 does not apply where the horse runs in Hunters' Steeple Chases only.
14.3 The reference to a Person owning or part-owning a horse includes holding a beneficial or equitable interest in the horse.

15. Riding outside Great Britain

15.1 This Rule applies where a Jockey is proposing to travel to another country with a view to riding in a race run under the rules of that country's Racing Authority.
15.2 The Jockey may request from the Authority a certificate of clearance to ride in that country where

15.2.1 the country is not listed in Schedule 1, or
15.2.2 although the country is listed, it is outside Europe and the Jockey has reason to believe that a certificate may be required.

15.3 In making a request under Paragraph 15.2.2 the Jockey must confirm to the Authority that he has contacted the Racing Authority to enquire whether local rules require a clearance certificate.